DOCKET NO. CSHL.005.01US

# COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

## FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Sheet 1\_ of 2

In re application of: Malinow et al.

Art Unit: 1647

Examiner: NICHOLS

Serial No.: 09/353,126

Filed: July 14, 1999

U.S. PATENT DOCUMENTS

Ref. Desig.

**PATENT** 

Examiner's **Initials** 

Document <u>Number</u>

<u>Date</u>

Name

Class/ **Subclass** 

Filing Date

None

FOREIGN PATENT DOCUMENTS

Ref. Desig. Examiner's

Initials

Document Number

<u>Date</u>

Country

Class/ Subclass

Filing Date

None

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref.

Examiner's

<u>Initials</u> Desig.

**C8** 

C9

Bliss et al. (1993) Nature 361:31-39.

Borchelt et al. (1996) Neuron 17: 1005 - 1013.

C10

Evans, et al. (1996) Neuropharmacology 35: 347-357.

CII

Fastbom et al. (1998) Alzheimer Dis Assoc. Disord. 12: 14-17.

C12

Guo et al. (1996) Neuroreport. 8: 379-383.

Examiner:

Date Considered: 12/22/03

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

**PATENT** 



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(Use several sheets if necessary)

Sheet 2 of 2

In re application of: Malinow et al.

Art Unit: 1645- 1647

Examiner: S. L. Turner

NICHOUS

Serial No.: 09/353,126

Filed: July 14, 1999

Muir et al. (1996) J. Cereb. Blood Flow Metab. (16): 1211-1218.

C13 C14

Ohkuma et al. (1994) Jpn. J. Pharmacol 64:125-128.

C15

Shen, et al. (1997) Cell 89: 629-639.

C16

C17

Wigstrom et al. (1986) J. Physiol. (Paris) 81: 228-236.

Wong et al. (1997) Nature 387: 288-292.

Date Considered: 12/22/2003 Examiner:

EXAMINER: Initial if entation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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(Use several sheets if necessary)

Sheet <u>1</u> of <u>2</u>

In re application of: Malinow et al.	. ]	Art Unit: 1645	
	]	Examiner: Not Yet Assigned	
Serial No.: 09/353,126	. 1		
Filed: July 14, 1999	,	•	

		,	U.S. PATENT	DOCUMENTS		
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	Filing Date
			None			
		FO	REIGN PATE	ENT DOCUMENTS		
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Filing Date
	•		None	2		

OTHER DOCUMENTS (including	Author, Title, Date, Pertinent Pages, etc.)	

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C1	84	Borchelt et al. (1997) Neuron 19:939-45.
C2	80	Darnell et al. (1986) Molec, Cell Biology pp. 400-01.
C3	80	Davis et al. (1998) Neuron 20:603-09.
C4	80	Lee et al. (1997) Nat. Med. 3:756-60.
C5	86	Naruse et al. (1998) Neuron 21:1213-21.

Examiner: Date Considered: 9-22-00

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

Sheet <u>2</u> of <u>2</u>

In re application of: Malinow et al.

Art Unit: 1645

Examiner: Not Yet Assigned

Serial No.: 09/353,126

Filed: July 14, 1999

C6 Parent et al. (1999) Neurobiology of Disease 6:56-62.

C7 Smith (1996) Elements of Molec. Neurobiology, 2nd Ed., pp. 74-82 and 460-70.

Examiner: Date Considered: 9-22-10

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.